



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Ye Yi Wang

Appln. No. : 09/934,223

Filed : August 21, 2001

For : METHOD AND APPARATUS FOR  
ROBUST EFFICIENT PARSING

Docket No.: M61.12-0360

Group Art Unit: 2654

Examiner: Han, Qi

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

09/20/2005 HDEESS1 00000024 09934223

01 FC:1806

180.00 DP

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

16<sup>th</sup> DAY OF September, 2005  
Florian M. H.  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

**TIME OF FILING**

The information disclosure statement is being filed:

1. \_\_\_\_\_ with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2.   x   after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ☒ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

       No fee is required.

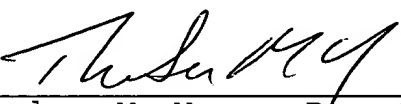
  x   Attached is a check in the amount of \$ 180.00 .

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:

  
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FORM PTO-1449

Atty. Docket No.:  
M61.12-0360Appl. No.:  
09/934,223LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

First Named Inventor:

Ye Yi Wang

Filing Date

Group Art:

August 21, 2001

2641

## U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|------|------|-------|-----------|----------------------------|
| AA               |              |      |      |       |           |                            |
| AB               |              |      |      |       |           |                            |
| AC               |              |      |      |       |           |                            |
| AD               |              |      |      |       |           |                            |
| AE               |              |      |      |       |           |                            |
| AF               |              |      |      |       |           |                            |
| AG               |              |      |      |       |           |                            |

## FOREIGN PATENT DOCUMENTS

|    | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|------|---------|-------|-----------|--------------------|
| AH |              |      |         |       |           |                    |
| AI |              |      |         |       |           |                    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |
|----|--|
| AJ | Wang, K., "Implementation of Multimodal Dialog System Using Extended Markup Languages," 6 pages, Proc. of ICSCP 2000 (2000). |
| AK | Wang, K. , " A Plan-based Dialog System With Probabilistic Inferences," 5 pages, Proc. ICSCP - 2000, Beijing, China (2000).  |
| AL | Wang, Y. "Robust Language Understanding in MIPAD," 4 pages, Proc. of Eurospeech Conference (2001).                           |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

